STATE OF ILLINOIS SECRETARY OF STATE SECURITIES DEPARTMENT

IN THE MATTER OF: Lawrence A. Kreindel

FILE NO. 1100384

ORDER OF DISMISSAL

TO RESPONDENT:

Lawrence A. Kreindel (Crd#: 3118855)

521 1/2 20th Street Rock Island, IL 61201

C/O Berthal, Fisher & Company Financial Services Inc

Attn: Director of Compliance

344 11th Street

Bettendorf, IA 52722-4810

WHEREAS, at the time of the Notice of Hearing, the Illinois Department of Revenue's ("Department of Revenue") records indicated an outstanding tax assessment of a tax administered by the Department of Revenue;

WHEREAS, the Illinois Department of Revenue has released such tax assessment; and

WHEREAS, the Secretary of State, by and through his duly designated representative, has determined that the Notice of Hearing entered on November 16, 2011 may be dismissed against the Respondent.

IT IS HEREBY ORDERED: The Notice of Hearing entered on November 16, 2011 against the Respondent Lawrence A. Kreindel in this matter is dismissed without prejudice.

Dated: This 29th day of December 2011.

JESSE WHITE Secretary of State

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Maria A. Pavone Enforcement Attorney Illinois Securities Department Office of Secretary of State 69 West Washington St.- Suite 1220 Chicago, IL 60602 Telephone:312.793.3022